

# On quantum to classical comparison for Davies generator

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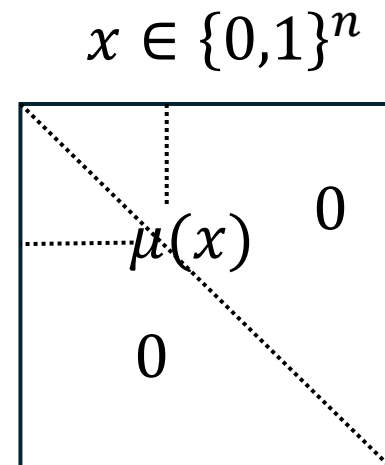
# Quantum crash course

- Quantum operator on  $n$  qubits  $\leftrightarrow f \in \mathbb{C}^{2^n \times 2^n}$
- Quantum state on  $n$  qubits  $\leftrightarrow$  Hermitian  $\sigma \in \mathbb{C}^{2^n \times 2^n}, \text{tr}(\sigma) = 1$
- Hamiltonian  $H \in \mathbb{C}^{2^n \times 2^n}, H$  Hermitian
- Quantum Gibbs state of  $H$  at inverse temperature  $\beta$ :  $\rho_\beta = \frac{\exp(-\beta H)}{\text{tr}(\exp(-\beta H))}$
- Pure quantum states are sometimes represented by vectors  $|\psi\rangle \in \mathbb{C}^{2^n}$   
 $\leftrightarrow$  density matrix  $|\psi\rangle\langle\psi| \in \mathbb{C}^{2^n \times 2^n}$
- General quantum states are statistical mixture of pure state  
$$\sigma = \sum p_i |\psi_i\rangle\langle\psi_i|$$

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Example: classical distribution  $\mu: \{0,1\}^n \rightarrow \mathbb{R}_{\geq 0} \rightarrow$  quantum state



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Example: classical distribution  $\mu: \{0,1\}^n \rightarrow \mathbb{R}_{\geq 0} \leftarrow$  quantum state

$$\mu(i) = p_i \qquad \sigma = \sum p_i |\psi_i\rangle\langle\psi_i|$$

## Quantum Markov processes (Davies generator)

$$\mathcal{L}(f) = i [H, f] + \sum G(\omega) (S(\omega)^\dagger f S(\omega) - \frac{1}{2} \{S(\omega)^\dagger S(\omega), f\})$$

$$S(\omega) := \sum_{\lambda_1, \lambda_2: \lambda_1 - \lambda_2 = \omega} \Pi_{\lambda_1} S \Pi_{\lambda_2}$$

$\Pi_\lambda$  : *projector to the eigenspace of  $H$  of eigenvalue  $\lambda$*

Understand & predict how open quantum systems behave:

- Physics: models thermalization
- Quantum computing: prepare quantum Gibbs state  $\rightarrow$  optimization, quantum ML, candidate quantum to classical speedup

# Davies generator

$$\mathcal{L}(f) = \underbrace{i [H, f]}_{\text{coherent}} + \underbrace{\sum G(\omega) (S(\omega)^\dagger f S(\omega) - \frac{1}{2} \{S(\omega)^\dagger S(\omega), f\})}_{\text{dissipative}}$$

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$$[A, B] = AB - BA$$

$$\{A, B\} = AB + BA$$

$\Pi_\lambda$  : projector to the eigenspace of  $H$  of eigenvalue  $\lambda$

- Lindbladian dynamics  $\sigma_t = e^{t\mathcal{L}^+} \sigma_0$
  - Hamiltonian  $H$ : describes the internal quantum system
  - Jump operators  $\mathcal{S} = \{S\}$
  - Transition rate  $G: B_H \rightarrow \mathbb{R}_{\geq 0}$
- } model interactions with the environment

Bohr frequency:

$$B_H = \{\lambda_1 - \lambda_2: \lambda_1, \lambda_2 \in \text{Spec}(H)\}$$

# Davies generator

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  - Jump operators  $\mathcal{S} = \{S\}$  s.t.  $\mathcal{S}^\dagger = \{S^\dagger : S \in \mathcal{S}\} = \mathcal{S}$
  - Transition rate  $G$  s.t.  $G(\omega) = G(-\omega)e^{-\beta\omega}$
  - (KMS) reversible:  $\langle \mathcal{L}(f), g \rangle_\rho = \langle f, \mathcal{L}(g) \rangle_\rho$   
 $\langle A, B \rangle_\rho := \text{tr}(\rho^{1/2} A \rho^{1/2} B^\dagger)$
- }  $\Rightarrow$  reversible

# Davies generator

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- Ergodic:  $\ker \mathcal{L} = \{id\}$



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# Central question: Rate of convergence

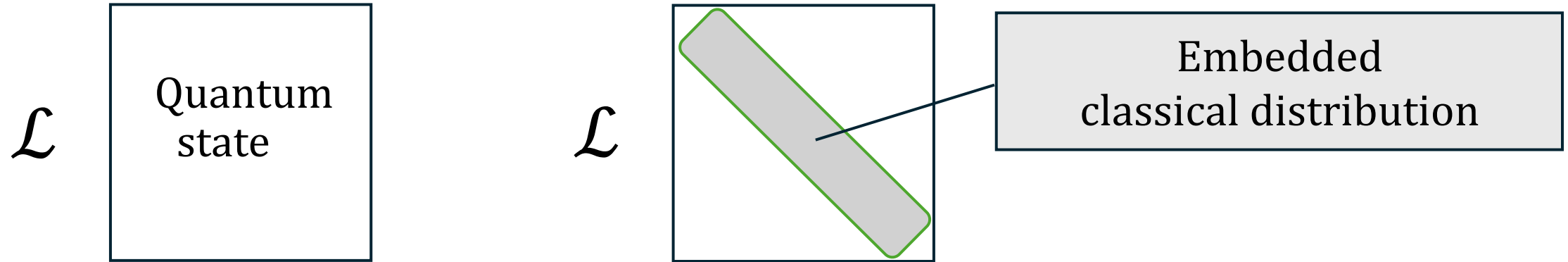
Rate of convergence is controlled by the generator's spectral gap

$$\lambda(\mathcal{L}) = \lambda_1 - \lambda_2 = |\lambda_2|$$

Eigenvalues of generator  $\mathcal{L}$ :  $0 = \lambda_1 \geq \lambda_2 \geq \dots$

$$\mathcal{L}(id) = 0$$


# Quantum vs classical walks



- $\mathcal{L}$  : quantum random walk/linear operator on space of quantum states
- $V_0$  : subspace of operators that commute with  $H$ .  $\mathcal{L}(V_0) \subseteq V_0$
- $\mathcal{L}|_{V_0}$  : isomorphic to classical random walk

Hamiltonians with simple spectrum: Temme'13

Any Hamiltonian: **Our work**

# Classical walks

$\mathcal{L}|_{V_0}$ : isomorphic to classical random walk

For *eigenbasis*  $U = \{u_i\}_{i=1}^N$  of  $H$        $\rho = \rho_\beta = \frac{\exp(-\beta H)}{\text{tr}(\exp(-\beta H))}$

- Stationary distribution:  $\pi : U \rightarrow \mathbb{R}_{\geq 0}$      $\pi(u_i) := \langle u_i | \rho | u_i \rangle$
- Transition matrix:  $P_{\mathcal{L}, U}[u_i \rightarrow u_j] = \langle \mathcal{L}(|u_j\rangle \langle u_j|), |u_i\rangle \langle u_i| \rangle$

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Claim:  $f = \sum f_i |u_i\rangle \langle u_i|$ .      Let  $F : U \rightarrow \mathbb{R}$  where  $F(u_i) = f_i$

$$\mathcal{E}_{\mathcal{L}}(f) := -\langle \mathcal{L}(f), f \rangle_\rho = \mathcal{E}_{\mathcal{L}, U, \text{cl}}(F)$$

$$\text{Var}(f) := \langle f, f \rangle_\rho - \text{tr}(\rho f) \text{tr}(\rho f^\dagger) = \text{Var}_\pi(F)$$

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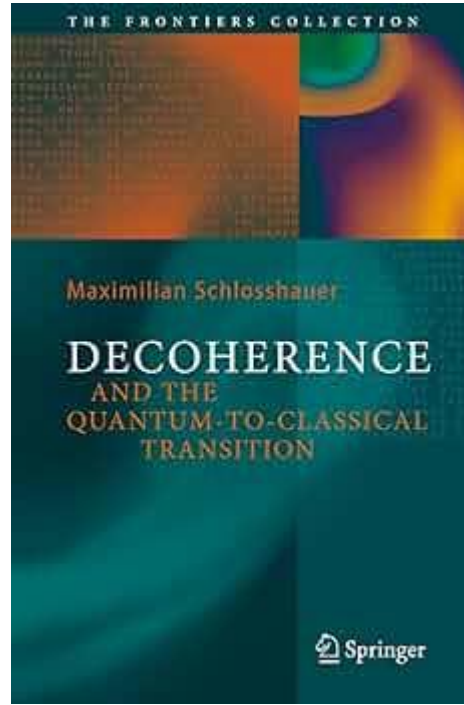
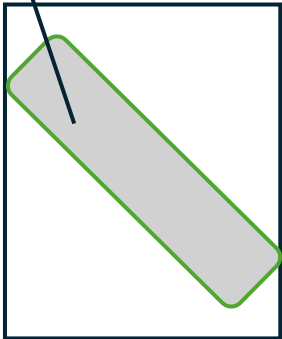
Prop: There exists *eigenbasis*  $U^*$  of  $H$

s.t.  $\lambda(\mathcal{L}|_{V_0}) = \text{spectral gap of classical Markov generator wrt } U^*$

$\mathcal{L}$ 

Quantum  
state

Embedded  
classical  
distribution

 $\mathcal{L}$ 

Q: quantum spectral gap comparable to classical spectral gap?  $\lambda(\mathcal{L})$  vs.  $\lambda(\mathcal{L}|_{V_0})$

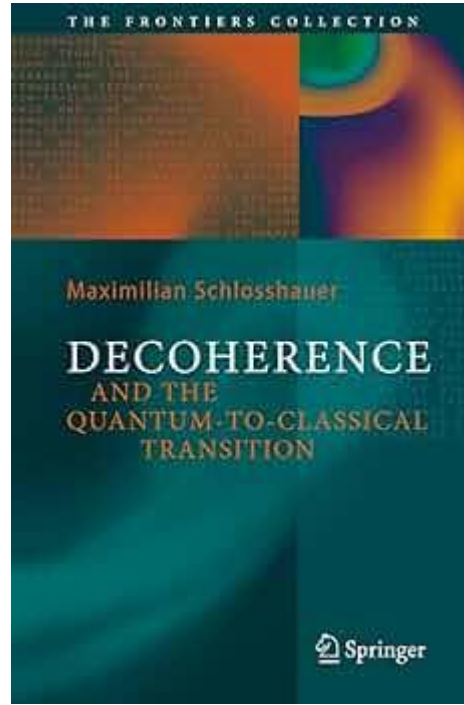
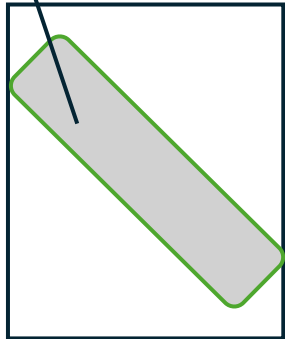
- Physicists' belief: yes
- Mathematicians' findings: no, for some(contrived) Hamiltonian [Tem'13]



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$\exists H, \mathcal{L}$ :

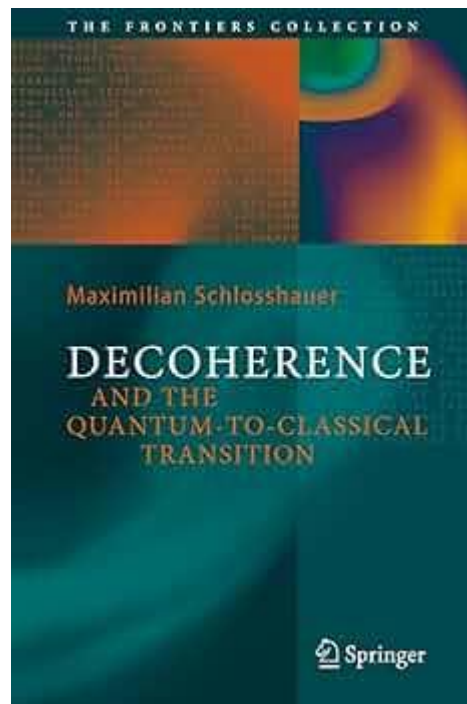
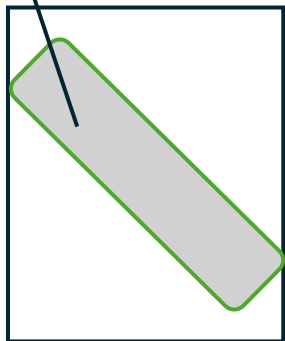
$$\lambda(\mathcal{L}) = 2^{-n} \lambda(\mathcal{L}|_{V_0})$$

$n$ : #qubits

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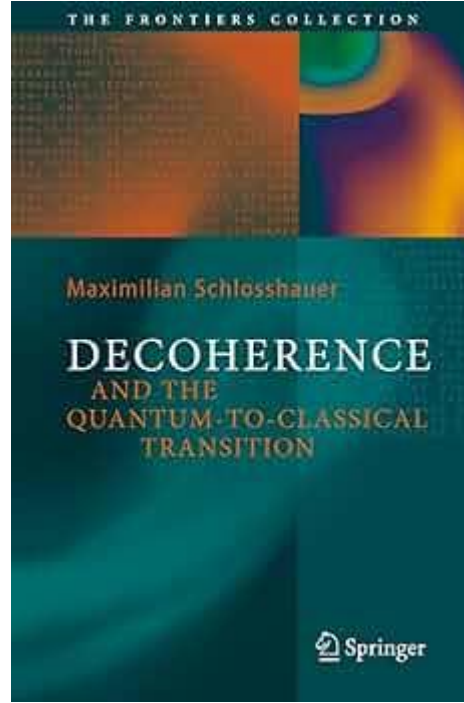
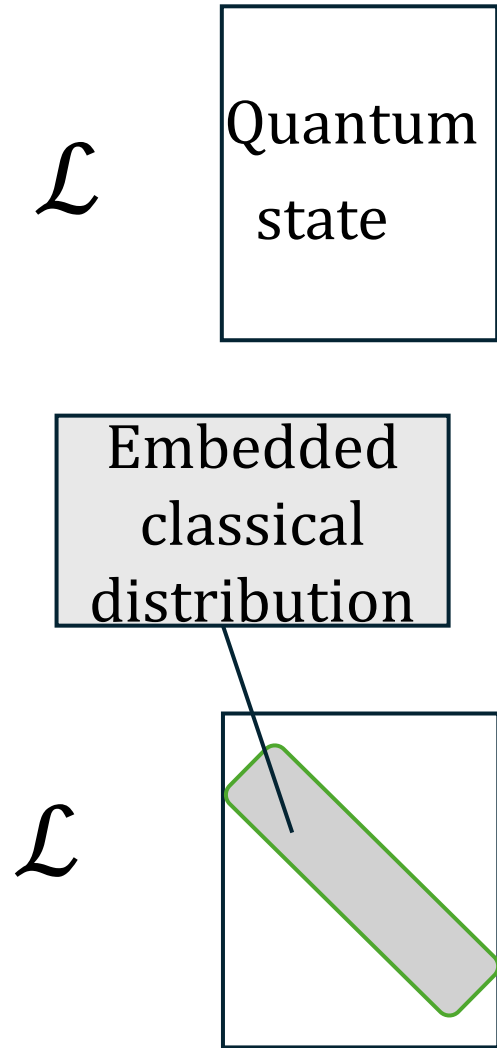
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$\exists H, \mathcal{L}$ :

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$n$ : #qubits

Eigenvalues of  $H$  are  $1, 2, \dots, 2^n$

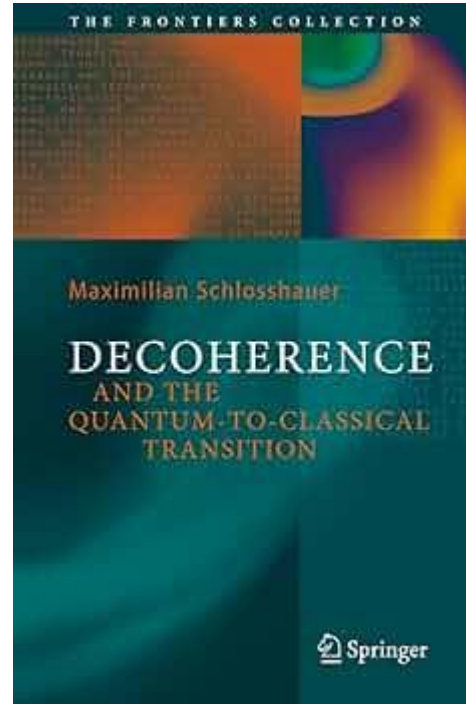
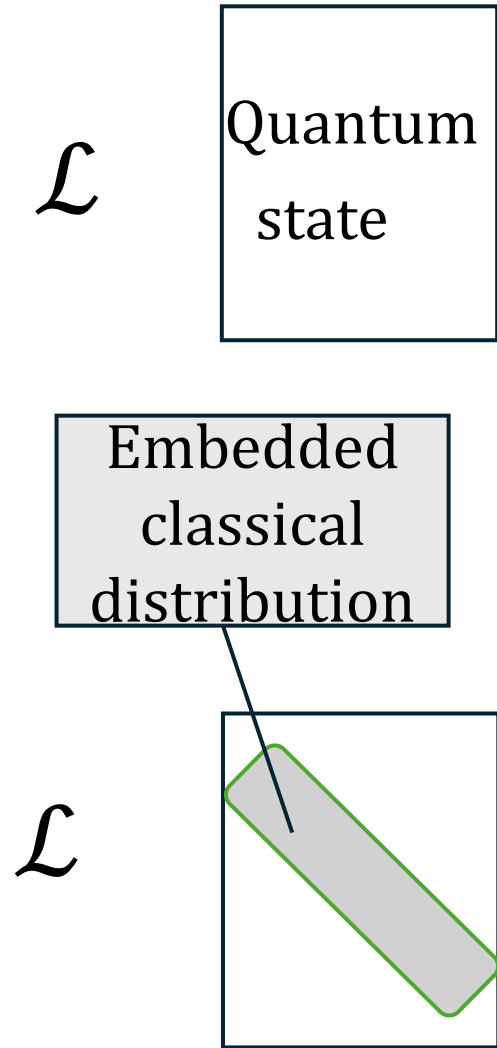


Q: quantum spectral gap comparable to classical spectral gap?  $\lambda(\mathcal{L})$  vs.  $\lambda(\mathcal{L} |_{V_0})$

- Physicists' belief: yes
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- Our work: yes, for generic Hamiltonians, e.g. those obtained by perturbing a fixed Hamiltonian by a random external field.

Thm:  $H_h = H_0 + \sum h_i Z_i$ ,  $\exists \mathcal{U} \subseteq \mathbb{R}^n$  of Lebesgue measure 1 s.t.  $\forall (h_i)_i \in \mathcal{U}$ , Linbladian  $\mathcal{L}$  wrt  $H_h$

$$\lambda(\mathcal{L}) = \Theta(1) \lambda(\mathcal{L} |_{V_0}) = \Theta(1) \lambda_{cl}$$



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- Our work: yes, for generic Hamiltonians, e.g. those obtained by perturbing a fixed Hamiltonian by a random external field.
- Implication:
  - Open the way to use classical techniques to analyze quantum walk
  - Match physicists' prediction

Proof

Thm 1:  $H_{\mathbf{h}} = H_0 + \sum h_i Z_i$ ,  $\exists \mathcal{U} \subseteq \mathbb{R}^n$  of Lebesgue measure 1 s.t.  $\forall (h_i)_{i \in \mathcal{U}}$ , Linbladian  $\mathcal{L}$  wrt  $H_{\mathbf{h}}$

$$\lambda(\mathcal{L}) = \Theta(1) \lambda(\mathcal{L} \mid_{V_0})$$

Lem 1: If  $H$  doesn't contain a proper arithmetic progression of length  $> D$

$$\lambda(\mathcal{L} \mid_{V_0}) \geq \lambda(\mathcal{L}) \geq \frac{1}{2D} \lambda(\mathcal{L} \mid_{V_0})$$

Lem 2:  $H_{\mathbf{h}} = H_0 + \sum h_i Z_i$ ,  $\exists \mathcal{U} \subseteq \mathbb{R}^n$  of Lebesgue measure 1 s.t.  $\forall (h_i)_{i \in \mathcal{U}}$ ,  $H_{\mathbf{h}}$  doesn't contain a proper arithmetic progression with length  $> 2$  or repeated eigenvalues.

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Proof (inspired by [Huang-Harrow'23]):

Create polynomial  $F, G$  s.t  $H_{\mathbf{h}}$  doesn't have 3-APs  $\Leftrightarrow F(\mathbf{h}) \neq 0$

$H_{\mathbf{h}}$  doesn't have repeated eigenvalues  $\Leftrightarrow G(\mathbf{h}) \neq 0$

$$F := \prod_{i,j,k \text{ distinct}} (\lambda_i + \lambda_j - 2\lambda_k) \qquad G(h_1, \dots, h_n) := \prod_{i,j:i \neq j} (\lambda_i - \lambda_j)$$

$$\mathbf{h} = R \tilde{\mathbf{h}}, R \rightarrow +\infty, H_{\mathbf{h}} \rightarrow R \sum \tilde{h}_i Z_i$$

Reducing to prove that  $\sum h_i Z_i$  doesn't have 3-AP or repeated eigenvalues for generic (e.g. linearly independent)  $h_1, \dots, h_n$

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$$\lambda(\mathcal{L}|_{V_0}) \geq \lambda(\mathcal{L}) \geq \frac{1}{2D} \lambda(\mathcal{L}|_{V_0})$$

$$V_0^c = \cup_{\omega \neq 0} V_\omega$$

$V_\omega$ : *image of map  $f \rightarrow f(\omega)$ . Invariant under  $\mathcal{L}$*

$$\lambda(\mathcal{L}) = \min_{\omega} \lambda(\mathcal{L}|_{V_\omega})$$

$$f \mapsto f(\omega) := \sum_{\lambda_1, \lambda_2: \lambda_1 - \lambda_2 = \omega} \Pi_{\lambda_1} f \Pi_{\lambda_2}$$



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$$\mathcal{E}_{\mathcal{L}}(f) := -\langle \mathcal{L}(f), f \rangle_\rho$$

$$\lambda(\mathcal{L}_{|V_\omega}) = \min_{f \in V_\omega} \frac{\mathcal{E}_{\mathcal{L}}(f)}{\text{Var}(f)}$$

$$\text{Var}(f) := \langle f, f \rangle_\rho - \text{tr}(\rho f) \text{tr}(\rho f^\dagger)$$

$$f \in V_\omega \begin{cases} \nearrow g := e^{\frac{\beta\omega}{4}} (f f^\dagger)^{1/2} \\ \searrow h := e^{-\frac{\beta\omega}{4}} (f^\dagger f)^{1/2} \end{cases} \Bigg\} \in V_0$$

$$2\mathcal{E}_{\mathcal{L}}(f) \geq \mathcal{E}_{\mathcal{L}}(g) + \mathcal{E}_{\mathcal{L}}(h)$$

$$\text{Var}(g) + \text{Var}(h) \geq \frac{1}{D} \text{Var}(f)$$

$$2\mathcal{E}_{\mathcal{L}}(f) \geq \mathcal{E}_{\mathcal{L}}(g) + \mathcal{E}_{\mathcal{L}}(h)$$

$$\mathcal{E}(f) = \frac{1}{2} \sum_{S \in \mathcal{S}, \omega} \tilde{G}(\omega) \|[S(\omega), f]\|_{\rho}^2 \quad \text{where } \tilde{G}(\omega) = G(\omega) e^{\frac{\beta \omega}{2}}$$

$$\tilde{G}(\omega) = G(\omega) e^{\frac{\beta \omega}{2}} = \tilde{G}(-\omega).$$

$$\|A\|_{\rho} := \langle A, A \rangle_{\rho} = \|\rho^{1/4} A \rho^{1/4}\|_{\mathrm{F}}^2$$

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$$2\mathcal{E}_{\mathcal{L}}(f) - (\mathcal{E}_{\mathcal{L}}(g) + \mathcal{E}_{\mathcal{L}}(h)) = \sum_{\tilde{\omega}} \tilde{G}(\tilde{\omega}) T(\tilde{\omega}) \geq 0$$

$$T(\tilde{\omega}) := \|[S(\tilde{\omega}), f]\|_{\rho}^2 + \|[S^{\dagger}(-\tilde{\omega}), f]\|_{\rho}^2 - \frac{1}{2}(\|[S(\tilde{\omega}), g]\|_{\rho}^2 + \|[S^{\dagger}(-\tilde{\omega}), g]\|_{\rho}^2 + \|[S(\tilde{\omega}), h]\|_{\rho}^2 + \|[S^{\dagger}(-\tilde{\omega}), h]\|_{\rho}^2)$$

$$= \mathrm{tr}(S(\omega)\tilde{g}S(\omega)^{\dagger}\tilde{g}) + \mathrm{tr}(S(\omega)\tilde{h}S(\omega)^{\dagger}\tilde{h}) - \mathrm{tr}(S(\omega)\tilde{f}S(\omega)^{\dagger}\tilde{f}^{\dagger}) - \mathrm{tr}(S(\omega)\tilde{f}^{\dagger}S(\omega)^{\dagger}\tilde{f})$$

$$\tilde{f} = \rho^{1/4} f \rho^{1/4}, \tilde{g} = \rho^{1/4} g \rho^{1/4}, \tilde{h} = \rho^{1/4} h \rho^{1/4} \qquad \tilde{g} = (\tilde{f} \tilde{f}^{\dagger})^{1/2} \quad \tilde{h} = (\tilde{f}^{\dagger} \tilde{f})^{1/2}$$

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$$T(\tilde{\omega})=\text{tr}(S(\omega)\tilde{g}S(\omega)^{\dagger}\tilde{g})+\text{tr}(S(\omega)\tilde{h}S(\omega)^{\dagger}\tilde{h})-\text{tr}(S(\omega)\tilde{f}S(\omega)^{\dagger}\tilde{f}^{\dagger})-\text{tr}(S(\omega)\tilde{f}^{\dagger}S(\omega)^{\dagger}\tilde{f})\geq 0$$

$$\tilde{g}=\sum_{i=1}^k\sqrt{\lambda_i}u_iu_i^{\dagger},\quad \tilde{f}=\sum_{i=1}^k\sqrt{\lambda_i}u_i\tilde{v}_i^{\dagger},\quad \tilde{h}=\sum_{i=1}^k\sqrt{\lambda_i}\tilde{v}_i\tilde{v}_i^{\dagger}$$

$$T(\tilde{\omega})=\sum_{i,j}(\lambda_i\lambda_j)^{1/2}|u_i^{\dagger}S(\omega)u_j-\tilde{v}_i^{\dagger}S(\omega)\tilde{v}_j|^2\geq 0$$

$$\mathrm{Var}(g) + \mathrm{Var}(h) \geq \frac{1}{D} \mathrm{Var}(f)$$

$$g = e^{\frac{\beta\omega}{4}} \sum \lambda_i |u_i\rangle \langle u_i|, \quad f = \sum \lambda_i |u_i\rangle \langle v_i|, \quad \text{and} \quad h = e^{-\frac{\beta\omega}{4}} \sum \lambda_i |v_i\rangle \langle v_i|$$

$$\text{Orthonormal eigenbasis } \{u_i\}_i, \{v_i\}_i \text{ of } H \qquad H(v_i) = H(u_i) - \omega$$

$$\mathrm{Var}(g) \geq (1 - \sum \rho(u_i)) \mathrm{Var}(f), \quad \mathrm{Var}(h) \geq (1 - \sum \rho(v_i)) \mathrm{Var}(f)$$

$$\text{Take maximal sequence } u_{i_1}, \dots, u_{i_k}, \text{ where } H(u_{i_{j+1}}) = H(u_{i_j}) - \omega \forall j$$

$$H(v_{i_k}) = H(u_{i_k}) - \omega \text{ is also in spec}(H)$$

$$\text{but } v_{i_k} \text{ not in spectral decomposition of } g$$

$$\text{WLOG assume } \beta\omega \geq 0 \Rightarrow \rho(v_{i_k}) \geq \frac{1}{k} \sum_{i=1}^k \rho(u_{i_j})$$

$$1 - \sum \rho(u_i) \geq 1/D$$

$$\mathrm{Var}(g) \geq \frac{1}{D} \mathrm{Var}(f)$$

$$H(u_{i_1}), \dots, H(u_{i_k}), H(v_{i_k}) : (k+1)\text{-AP} \quad k+1 \leq D$$